
Report To: Inverclyde Integration Joint Board **Date:** 17 March 2020

Report By: Louise Long
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Inverclyde Health & Social Care
Partnership **Report No:** IJB/20/2020/LA

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Subject: **FINANCIAL MONITORING REPORT 2019/20 – PERIOD TO 31
DECEMBER 2019, PERIOD 9**

1.0 PURPOSE

- 1.1 The purpose of this report is to advise the Inverclyde Integration Joint Board (IJB) of the Revenue and Capital Budgets, other Income Streams and Earmarked Reserves position for the current year with a detailed report as at Period 9 to 31 December 2019.

2.0 SUMMARY

- 2.1 The detailed report outlines the financial position at Period 9 to the end of October 2019. The current year-end operating projection for the Partnership is a projected overspend of £0.065m, after a number of transfers to/from Earmarked Reserves (EMR). The projected overspend has decreased by £0.163m since the last report. The IJB is expected to utilise a net £0.037m of its Earmarked Reserves in-year on previously agreed projects and spend, including the impact of any transfers to/from reserves as a result of anticipated over and underspends. Anticipated use of reserves has decreased by £2.597m since the last report. A verbal update on any significant changes to the current forecast position as at Period 11 to 29 February will be provided at the meeting.
- 2.2 At Period 9 there is a projected overspend of £0.065m on Social Care Services after the transfer to EMR. The main elements of the overspend are detailed within this report and attached appendices.
- 2.3 Health services are currently projected to outturn in line with the revised budget after transfers to EMR.
- 2.4 The Chief Officer and Heads of Service will continue to work to mitigate any projected budget pressures and keep the overall IJB budget in balance for the remainder of the year. It is proposed that as in previous years, any over or underspend is taken from or added to IJB reserves.
- 2.5 The report outlines the current projected spend for the Transformation Fund, Integrated Care Fund and Delayed Discharges money.
- 2.6 The assets used by the IJB and related capital budgets are held by the Council and Health Board. Planned capital spend in relation to Partnership activity is budgeted as £1.093m for 2019/20 with an actual spend to date of £0.693m.
- 2.7 The IJB holds a number of Earmarked and General Reserves; these are managed in line

with the IJB Reserves Policy. The total Earmarked Reserves available at the start of this financial year were £6.271m, with £1.010m in Unearmarked Reserves, giving a total Reserve of £7.281. The projected year-end position is a carry forward of £7.244m.

3.0 RECOMMENDATIONS

3.1 It is recommended that the Integration Joint Board:

1. Notes the current Period 11 forecast position for 2019/20 and Period 9 detailed report contained in Appendices 1-3;
2. Approves the proposed budget realignments and virement (Appendix 4) and authorises officers to issue revised Directions to the Council and/or Health Board as required on the basis of the revised figures enclosed (Appendix 5);
3. Approves the planned use of the Transformation Fund (Appendix 6);
4. Notes the planned use of the Integrated Care Fund and Delayed Discharge monies (Appendix 7);
5. Notes the current Capital position (Appendix 8), and
6. Notes the current Earmarked and Unearmarked Reserves position (Appendix 9);

Louise Long
Corporate Director (Chief Officer)

Lesley Aird
Chief Financial Officer

4.0 BACKGROUND

4.1 From 1 April 2016 the Health Board and Council delegated functions and are making payments to the IJB in respect of those functions as set out in the integration scheme. The Health Board have also “set aside” an amount in respect of large hospital functions covered by the integration scheme.

4.2 The IJB Budget for 2019/20 was set on 24 June 2019. The table below summarises the agreed budget and funding together with the projected operating outturn to the yearend:

	Revised Budget 2019/20 £000	Projected Outturn £000	Projected Over/(Under) Spend £000
Social Work Services	66,584	66,649	65
Health Services	73,179	73,179	0
Set Aside	16,857	16,857	0
HSCP NET EXPENDITURE	156,620	156,685	65
FUNDED BY			
Transfer from / (to) Reserves	(855)	(790)	65
NHS Contribution to the IJB	106,698	106,698	0
Council Contribution to the IJB	50,777	50,777	0
HSCP FUNDING	156,620	156,685	65
Planned Use of Reserves	28	(37)	
Annual Accounts CIES Position	28	(37)	0

4.3 Updated Finance Position and Forecasting to Year-end

Timelines for Committee paper submission mean that, by necessity, finance reports are often a couple of months old by the time they come to the IJB. This creates potential governance issues:

- If the Board is not seeing up-to-date financial forecasts and projections decision making and financial governance are weakened; this is particularly important in the second half of each financial year.
- For the IJB, month-end and committee timelines mean that the October report comes to the IJB in late January and the December report in mid-March

These are being addressed as follows:

- An updated finance summary detailing any significant changes to financial forecasts from the report date to the current period is provided as part of the monitoring report presentation from the P7 October report onwards.

This ensures that the Board still receives the full detailed finance pack but is also updated on any substantive changes to the forecast position in between the pack date and the meeting date.

5.0 SOCIAL WORK SERVICES

5.1 The projected outturn for Social Work services at 31 December is a £0.065m overspend.

5.2 The Social Work budget includes agreed savings of £1.429m. It is anticipated that this will

be delivered in full during the year.

Appendix 2 contains details of the Social Work outturn projection. The main variances are detailed below with further detail provided in Appendix 2A. As at Period 9, there is a projected overspend of £0.065m, after the use of £0.510m of smoothing reserves, the approved transfer of £0.398m to the Learning Disability Hub earmarked reserve and the transfer of £0.195m to a new EMR for the Tier 2 School Counselling Project. The main elements of the overspend are:

- Additional turnover savings being projected across services £0.530m.
- £0.072m projected underspend resulting from the partial implementation of Ethical Care within Homecare.
- £0.077m projected underspend within external homecare mainly due to a decrease in client hours/packages due to deaths and transfers to other areas. The decrease in spend is partially offset by an increase in Homecare staffing costs.
- £0.053m projected underspend within Day Care client commitments.
- £0.051m projected underspend within Alcohol and Drug Recovery service client commitments due to a reduction in service provision.
- One-off £0.190m projected underspend against Free Personal Care for under 65s.

In the main offset by:

- Within Learning Disabilities a projected overspend of £0.263m due to increase in packages, package reviews and new service provisions.
- As reported at Period 7, a £0.063m projected under-recovery of income from other local authorities within Learning Disabilities. This is consistent with current levels of income and last year's out-turn.
- A projected overspend of £0.090m on agency workers within Mental Health due to an increased pressure on meeting service demands resulting from staff vacancies and difficulty in recruiting.
- A projected overspend of £0.282m within Criminal Justice due to the client package costs shared between Criminal Justice and Learning Disabilities.
- Respite, Direct Payments and Additional Hours are projected to overspend by £0.148m, mainly due to respite beds previously being shown to be funded from the Transformation Fund now being funded from core budgets.
- Projected overspends of £0.044m and £0.048m against the Pay and Grading model allowance and the costs recharged from Health respectively.

6.0 HEALTH SERVICES

6.1 The projected outturn for health services at 31 December is in line with the revised budget. The projection includes planned offsetting variances against individual service lines to cover the Mental Health inpatient pressures. Within these figures is an assumed £1.986m transferred to Earmarked Reserves. This was highlighted during the verbal update at the January meeting and is detailed in Appendix 3a summarised as follows:

- Delays in filling vacancies in a number of services - £0.797m
- Slippage on planned spend against Scottish Government projects - £0.422m
- Anticipated underspend on Prescribing - £0.6m
- Premises-related cost underspend £0.167m, £0.089m of which relates to new GP Premises monies received in-year but not yet spent and the remainder relates to planned premises underspends linked to future running costs for the new Greenock Health Centre

6.2 The total budget pressure for Health has been covered by efficiencies made in previous years and additional in-year uplift and continuing care monies.

6.3 Mental Health Inpatients

When it was originally established, the IJB inherited a significant budget pressure related to mental health inpatient services due to the high levels of special observations required

in that area. Work has been ongoing locally to minimise this pressure. In addition, Mental Health provision across GG&C is under review and it is anticipated that this, together with local work, will address this budget pressure for this and future years.

6.4 At Period 9, the projected year-end overspend on Mental Health Inpatients is £0.516m. This is being funded non recurrently by planned underspends in the following areas:

- Mental Health Communities £0.173m – delays in filling vacancies
- Management and Admin £0.343m - £0.293k relates to a saving agreed and implemented for 2019/20 but not required in-year after the Health uplift was increased. The remainder relates to delays in filling vacancies.

6.5 The Mental Health Inpatients service has successfully addressed elements of the historic overspend. This budget is closely monitored throughout the year and work is done to ensure that the underlying budget is sufficient for core service delivery going forward.

6.6 Prescribing

This is currently projected as in line with budget. Based on latest advice from the prescribing teams it is anticipated that there may be an underspend at the year-end of between £0.4m-£0.7m; this report reflects an anticipated £0.6m being transferred to IJB reserves, as detailed in Appendix 3a. The prescribing position is closely monitored throughout the year.

6.7 To mitigate the risk associated with prescribing cost volatility, the IJB agreed as part of its 2018/19 and 2019/20 budgets to invest additional monies into prescribing. However, due to the uncertain, externally influenced nature of prescribing costs, this remains an area of potential financial risk going forward.

6.8 GP Prescribing experiences in-year pressure due to increased premiums paid for drugs that are on short supply. It must be emphasised that GP Prescribing is an extremely volatile area and a drug going on short supply can have significant financial consequences. Linked to this it is proposed to allocate £0.300m of the anticipated in year Prescribing underspend to a Prescribing Smoothing Reserve.

6.9 There is an expectation that some money will be recoverable from Community Pharmacists (CP) as the nationally set tariffs currently being paid for drugs are estimated to generate profit margins to CPs in excess of the minimum amount agreed.

6.10 Set Aside

- The Set Aside budget in essence is the amount “set aside” for each IJB’s consumption of large hospital services.
- Initial Set Aside base budgets for each IJB were based on their historic use of certain Acute Services including: A&E Inpatient and Outpatient, general medicine, Rehab medicine, Respiratory medicine and geriatric medicine.
- Legislation sets out that Integration Authorities are responsible for the strategic planning of hospital services most commonly associated with the emergency care pathway along with primary and community health care and social care.
- The Set Aside functions and how they are used and managed going forward are heavily tied in to the commissioning/market facilitation work that is ongoing

Work is ongoing detailing the Set Aside position within GG&C for each HSCP. Activity data is now available in almost real time and will be converted to “bed days” over the next few weeks. Budgets are being worked up based on this data. Further updates will be brought to the IJB as available.

7.0 VIREMENT AND OTHER BUDGET MOVEMENTS

7.1 Appendix 4 details the virements and other budget movements that the IJB is requested to note and approve. These changes have been reflected in this report. The Directions which are issued to the Health Board and Council require to be updated in line with these

proposed budget changes. The updated Directions linked to these budget changes are shown in Appendix 5. These require both the Council and Health Board to ensure that all services are procured and delivered in line with Best Value principles.

8.0 TRANSFORMATION FUND, INTEGRATED CARE FUND & DELAYED DISCHARGE

8.1 Transformation Fund

At the beginning of this financial year, the balance on the Transformation Fund was £2.505m. Spend against the plan is done on a bids basis through the Transformation Board, which is then later ratified by the IJB. Appendix 6 details the current agreed commitments against the fund. At Period 9 there is £1.729m committed and £0.775m still available from the fund. Proposals with a total value in excess of £0.100m require the prior approval of the IJB.

8.2 Integrated Care Fund (ICF) and Delayed Discharge Funding (DD)

Appendix 7 details the current budget, projected outturn and actual spend to date for these funds.

9.0 CURRENT CAPITAL POSITION - Nil Variance

9.1 The Social Work capital budget is £1.861m over the life of the projects with £1.093m projected to be spent in 2019/20, comprising:

- £0.995m for the replacement of Crosshill Children's Home,
- £0.070m for the upgrade of the equipment store in the Inverclyde Centre for Independent Living,
- £0.028m for projects complete on site.

No slippage is currently being reported. Expenditure on all capital projects to 31 December 2019 is £0.693m (63.4% of projection). Appendix 8 details capital budgets.

9.2 Crosshill Children's Home:

- The former Neil Street Children's Home is in use as temporary decant accommodation for the Crosshill residents.
- The demolition of the existing Crosshill building was completed in Autumn 2018. Main contract work commenced on site in October 2018.
- Foundation and drainage works were completed 1st Quarter 2019. As previously reported, site issues had delayed the progress of the foundations and this affected the delivery time of the timber kit.
- Timber kit and roof structure are complete.
- Roof works complete and building wind and watertight.
- External render in progress but delayed due to inclement weather.
- Internal partitions complete.
- Underfloor heating installation complete and floors screeded.
- Electrical and plumbing installation partially complete.
- Internal fit out of fitted furniture in progress.
- Contractor's site compound has been reduced and external landscaping works had commenced.
- The Contractor had intimated further delays which were subject to dispute.
- The Contractor had also been instructed to alter part of the works to convert the study room to a seventh bedroom.

The original Contract Period was 39 calendar weeks with completion in July 2019. However as previously reported, the delays above have impacted on the completion date.

The Board is requested to note that the Main Contractor (J.B. Bennett) are now in

administration with work having ceased on site on 25th February. The site has been secured with arrangements made to address temporary works to protect the substantially completed building. Completion of the project is subject to formal contact from the Administrators but may involve a separate completion works contract. It should also be noted that this will likely result in a delay of a number of months to progress the necessary re-tender exercise for any completion contract.

9.3 Inverclyde Centre for Independent Living

The works to the above are being progressed in conjunction with essential roofing works. The HSCP funded element addresses alterations to the decontamination area to comply with current hygiene regulations. The replacement of the existing roof covering which contains asbestos is being funded from the Core Property General Allocation. The store will be decanted for the duration of the works.

- The store has been decanted.
- Initial asbestos removal has been completed.
- The roof replacement over the warehouse has been completed however the roof over the offices has been delayed as the Contractor has failed to submit a satisfactory method statement confirming a safe method of working.
- Meanwhile, the Contamination Unit is almost complete with the Contractor intimating this by mid-February. We propose to return the Joint Equipment Store facility to the premises thereafter subject to the Contractor's agreement that this will not compromise the work to the remainder of the roof.
- Works commenced early October with completion expected late December however slow progress on site and the poor performance of the Contractor suggests that the completion will be March 2020.

Officers await a revised programme and confirmation of the revised completion date.

10.0 RESERVES

10.1 Reserves Funds are established as part of good financial management. CIPFA recommend that unallocated reserves balances should be between 2 and 4% of revenue expenditure. In Inverclyde our forecast reserves at the yearend are:

- unallocated reserves £1.010m, 0.7% of budgeted revenue expenditure.
- smoothing reserves £0.836m, together with our unallocated reserves represent 1.3% of our revenue expenditure, still below the CIPFA recommended 2-4%.

10.2 The IJB holds a number of Earmarked and Un-Earmarked Reserves; these are managed in line with the IJB Reserves Policy. Total Earmarked Reserves available at the start of this financial year were £6.271m, with £1.010m in Un-Earmarked Reserves, giving a total Reserve of £7.281. To date at Period 9, £3.909m of new reserves are expected in-year, £2.412m has been spent, projected carry forward at the year-end is £7.244m (£6.299m Earmarked, £1.010m General). Appendix 9 shows all reserves under the following categories:

Earmarked Reserves

- Scottish Government Funding - funding ring-fenced for specific initiatives
- Existing Projects/Commitments - many of these are for projects that span more than 1 financial year
- Transformation Projects - non recurring funding to deliver transformational changes
- Budget Smoothing - monies held as a contingency against one-off pressures in the IJBs more volatile budgets eg Children & Families Residential

Un-Earmarked Reserves

- General

10.3 The movement in anticipated Reserves at the year end from the last report is £2.597m. This is made up as follows:

- £1.986m Health projected underspend due to delays in filling vacancies, decrease in

projected Prescribing outturn, slippage on government funded initiatives – as detailed in 6.1 above and Appendix 3a

- £0.195m to carry forward the new Tier 2 school counselling monies
- £0.200m reduction in anticipated spend on the Transformation Fund by 31st March
- £0.216m reduction in anticipated Social Care underspend and use of Smoothing Reserves

11.0 STATUTORY ACCOUNTS COMPREHENSIVE INCOME & EXPENDITURE STATEMENT (CIES)

11.1 As part of a prior year audit of the IJBs statutory accounts, Audit Scotland noted that the IJB's budget monitoring reports did not clearly set out the anticipated year-end position in relation to the receipt or use of reserves in-year and in particular their impact on the CIES surplus or deficit position within the Statutory Accounts.

11.2 The creation and use of reserves during the year, while not impacting on the operating position, will impact on the year-end CIES outturn. For 2019/20, it is anticipated that as a portion of the brought forward £7.281m and any new Reserves are used, the CIES will reflect a deficit. At Period 9, that CIES deficit is projected to be the same as the projected movement in reserves detailed in Paragraph 10.1 above and Appendix 9.

12.0 DIRECTIONS

12.1	Direction Required to Council, Health Board or Both	Direction to:	
		1. No Direction Required	
		2. Inverclyde Council	
		3. NHS Greater Glasgow & Clyde (GG&C)	
		4. Inverclyde Council and NHS GG&C	X

13.0 IMPLICATIONS

FINANCE

13.1 All financial implications are discussed in detail within the report above.

One off Costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report £000	Virement From	Other Comments
N/A					

Annually Recurring Costs / (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact £000	Virement From	Other Comments
N/A					

LEGAL

13.2 There are no specific legal implications arising from this report.

HUMAN RESOURCES

13.3 There are no specific human resources implications arising from this report.

EQUALITIES

13.4 There are no equality issues within this report.

Has an Equality Impact Assessment been carried out?

	YES (see attached appendix)
√	NO – This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required.

13.5 How does this report address our Equality Outcomes?

There are no Equalities Outcomes implications within this report.

Equalities Outcome	Implications
People, including individuals from the above protected characteristic groups, can access HSCP services.	None
Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated.	None
People with protected characteristics feel safe within their communities.	None
People with protected characteristics feel included in the planning and developing of services.	None
HSCP staff understand the needs of people with different protected characteristic and promote diversity in the work that they do.	None
Opportunities to support Learning Disability service users experiencing gender based violence are maximised.	None
Positive attitudes towards the resettled refugee community in Inverclyde are promoted.	None

13.6 **CLINICAL OR CARE GOVERNANCE IMPLICATIONS**

There are no governance issues within this report.

13.7 **NATIONAL WELLBEING OUTCOMES**

How does this report support delivery of the National Wellbeing Outcomes

There are no National Wellbeing Outcomes implications within this report.

National Wellbeing Outcome	Implications
People are able to look after and improve their own health and wellbeing and live in good health for longer.	None

People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community	None
People who use health and social care services have positive experiences of those services, and have their dignity respected.	None
Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.	None
Health and social care services contribute to reducing health inequalities.	None
People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing.	None
People using health and social care services are safe from harm.	None
People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.	None
Resources are used effectively in the provision of health and social care services.	Effective financial monitoring processes ensure resources are used in line with the Strategic Plan to deliver services efficiently

14.0 CONSULTATION

14.1 This report has been prepared by the IJB Chief Financial Officer. The Chief Officer, the Council's Chief Financial Officer and Director of Finance NHSGGC have been consulted.

15.0 BACKGROUND PAPERS

15.1 None.

INVERCLYDE HSCP**REVENUE BUDGET 2019/20 PROJECTED POSITION****PERIOD 9: 1 April 2019 - 31 December 2019**

SUBJECTIVE ANALYSIS	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
Employee Costs	49,264	53,052	52,761	(291)	-0.5%
Property Costs	1,121	1,090	1,067	(23)	-2.1%
Supplies & Services	49,521	50,177	51,032	855	1.7%
Family Health Services	24,617	26,655	26,655	0	0.0%
Prescribing	18,054	17,827	17,827	0	0.0%
Transfer from / (to) Reserves	0	(2,841)	(2,841)	0	0.0%
Income	(5,426)	(6,197)	(6,673)	(476)	7.7%
HSCP NET DIRECT EXPENDITURE	137,151	139,763	139,828	65	6.7%
Set Aside	16,857	16,857	16,857	0	0.0%
HSCP NET TOTAL EXPENDITURE	154,008	156,620	156,685	65	0.0%

OBJECTIVE ANALYSIS	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
Strategy & Support Services	2,138	2,006	1,951	(55)	-2.7%
Older Persons	28,267	28,619	28,497	(122)	-0.4%
Learning Disabilities	11,510	11,845	12,043	198	1.7%
Mental Health - Communities	6,541	6,793	6,692	(101)	-1.5%
Mental Health - Inpatient Services	8,400	9,186	9,702	516	5.6%
Children & Families	12,774	13,831	14,026	195	1.4%
Physical & Sensory	2,828	2,889	2,878	(11)	-0.4%
Alcohol & Drug Recovery Service	3,324	3,088	2,872	(216)	-7.0%
Assessment & Care Management / Health & Community Care	7,583	9,260	9,223	(37)	-0.4%
Support / Management / Admin	5,769	6,089	5,496	(593)	-9.7%
Criminal Justice / Prison Service **	0	20	282	262	0.0%
Homelessness	743	1,078	1,107	29	2.7%
Family Health Services	24,618	26,283	26,283	0	0.0%
Prescribing	18,262	17,732	17,732	0	0.0%
Change Fund	1,228	1,044	1,044	0	0.0%
Unallocated Funds	3,167	0	0	0	0.0%
HSCP NET DIRECT EXPENDITURE	137,151	139,763	139,828	65	0.0%
Set Aside	16,857	16,857	16,857	0	0.0%
HSCP NET TOTAL EXPENDITURE	154,008	156,620	156,685	65	0.0%
FUNDED BY					
NHS Contribution to the IJB	86,534	89,841	89,841	0	0.0%
NHS Contribution for Set Aside	16,857	16,857	16,857	0	0.0%
Council Contribution to the IJB	50,617	50,777	50,777	0	0.0%
Transfer from / (to) Reserves	0	(855)	(790)	65	0.0%
HSCP NET INCOME	154,008	156,620	156,685	65	0.0%
HSCP OPERATING SURPLUS/(DEFICIT)	0	0	0	0	-0.0%
Anticipated movement in reserves ***	(1,747)	28	(37)		
HSCP ANNUAL ACCOUNTS REPORTING SURPLUS/(DEFICIT)	(1,747)	28	(37)		

** Fully funded from external income hence nil bottom line position.

*** See Reserves Analysis for full breakdown

SOCIAL CARE**REVENUE BUDGET PROJECTED POSITION 2018/19****PERIOD 9: 1 April 2019 - 31 December 2019**

2018/19 Actual £000	SUBJECTIVE ANALYSIS	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
	SOCIAL CARE					
26,882	Employee Costs	28,443	28,400	27,870	(530)	-1.9%
1,028	Property costs	1,115	1,085	1,062	(23)	-2.1%
1,185	Supplies and Services	912	1,019	1,030	11	1.1%
411	Transport and Plant	381	377	407	30	8.0%
799	Administration Costs	783	766	761	(5)	-0.7%
39,552	Payments to Other Bodies	41,117	40,522	41,580	1,058	2.6%
(16,765)	Resource Transfer	(16,751)	(16,662)	(16,662)	0	0.0%
(5,980)	Income	(5,382)	(4,730)	(5,206)	(476)	10.1%
	Transfer to Earmarked Reserves	0	(855)	(855)	0	0.0%
47,112	SOCIAL CARE NET EXPENDITURE	50,617	49,922	49,987	65	0.1%

2018/19 Actual £000	OBJECTIVE ANALYSIS	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
	SOCIAL CARE					
1,802	Strategy & Support Services	1,700	1,625	1,570	(55)	-3.4%
27,154	Older Persons	28,267	28,619	28,497	(122)	-0.4%
11,054	Learning Disabilities	11,049	11,325	11,523	198	1.7%
3,740	Mental Health	3,539	3,627	3,699	72	2.0%
10,079	Children & Families	9,837	10,502	10,697	195	1.9%
2,921	Physical & Sensory	2,828	2,889	2,878	(11)	-0.4%
1,759	Alcohol & Drug Recovery Service	1,772	1,788	1,572	(216)	-12.1%
2,507	Business Support	3,087	2,694	2,444	(250)	-9.3%
2,101	Assessment & Care Management	2,123	2,417	2,380	(37)	-1.5%
(32)	Criminal Justice / Scottish Prison Service	0	20	282	262	0.0%
(16,764)	Resource Transfer	(16,751)	(16,662)	(16,662)	0	0.0%
0	Unallocated Funds	2,424	0	0	0	0.0%
791	Homelessness	743	1,078	1,107	29	2.7%
47,112	SOCIAL CARE NET EXPENDITURE	50,617	49,922	49,987	65	0.1%

2018/19 Actual £000	COUNCIL CONTRIBUTION TO THE IJB	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
49,653	Council Contribution to the IJB	50,617	50,777	50,777	0	0.0%
(2,541)	Transfer from / (to) Reserves	0	(855)	(855)	0	

SOCIAL CARE**PERIOD 9: 1 April 2019 - 31 December 2019**

Extract from report to the Health & Social Care Committee

Children & Families: £195,000 overspend (1.82%)

The projected overspend is £43,000 more than reported at period 7 and is largely due to:

- a £195,000 projected overspend on employee costs, up £49,000 from the position reported at period 7 and is mainly due to vacant posts filled earlier than anticipated along with projected increase in allowances, holiday pay and increments. The projected overspend primarily relates to employee costs and in the main relates to residential accommodation where there is a requirement for minimum staffing levels. This is a continuing pressure area.

- A full underspend of £195,000 against the additional budget allocated by the Scottish Government for the Tier 2 Counsellors through Schools, which we are recommending to IJB that they are earmark for spend in 2020/21, leaving a net nil position on the projected outturn.

Any over/ underspends on adoption, fostering, kinship and children's external residential accommodation and continuing care are transferred to the respective earmarked reserve at the end of the year. The balance on the two reserves as at 1 April 2019 is £1,407,000. At period 9 there is a projected net overspend of £422,000 on children's external residential accommodation, adoption, fostering and kinship and continuing care, which will be funded by the earmarked reserves and is thus not included in the projected overall overspend.

Criminal Justice: Projected £262,000 (14.23%) overspend

The position is £55,000 less than that reported at period 7, mainly due to a reduction in the rates for the client package costs shared with Learning Disabilities.

Older People: Projected £122,000 (0.48%) underspend

The projected underspend is £43,000 more than reported at period 7 and comprises:

- A projected £27,000 underspend on employee costs. The underspend has decreased by £75,000 from the position reported at period 7 and is mainly within Homecare services due to increased sessional and overtime costs.

- A £77,000 projected underspend within external homecare, a decrease in spend of £124,000 since period 7 mainly due to a decrease in client hours/packages due to deaths and transfers to other areas combined with a reduction in new packages. The decrease in spend is partially offset by an increase in Homecare staffing costs.

- A £72,000 projected underspend within Ethical Care a further decrease in spend of £24k since period 7.

- Residential and Nursing net bed costs projected to out-turn online with budget which is £54,000 less than reported to Committee in period 7 due to a revision of financial assessments and a reclassification of four clients from Social Work Funding to Free Personal Care.

- Respite, Direct Payments and Additional Hours are projected to overspend by £148,000, an increase in spend of £102,000 since period 7 mainly due to respite beds previously miscoded to the Transformation Fund earmarked reserve for Winter Planning now correctly coded to core budgets.

Any over / underspends on residential & nursing accommodation are transferred to the earmarked reserve at the end of the year. The balance on the residential & nursing accommodation reserve is £226,000 as at 1 April 2019, with £700,000 also available in the IJB free reserves. At period 9 there is a net projected overspend of £86,000 (a reduction in spend of £140,000 since period 7), which would be funded from the earmarked reserves at the end of the year if it continues and is not included in the projected overall overspend.

Learning Disabilities: Projected £198,000 (2.54%) overspend

The projected spend is £91,000 higher than the position reported at period 7 and mainly comprises an increase of £73,000 in the projected overspend on client commitments due to increase in packages, package reviews and new service provision.

Physical & Sensory: Projected £11,000 (0.45%) underspend

The projected underspend is £60,000 less than reported at period 7 and mainly comprises a decrease of £50,000 in the projected overspend on client commitments due to one-off credits received for costs relating to 2018/19.

Assessment & Care Management: Projected £37,000 (1.70%) underspend

The projected spend has reduced by £28,000 since period 7 primarily due to a £33,000 reduction in spend projected for respite.

Mental Health: Projected £72,000 (5.11%) overspend

The projected spend has decreased by £25,000 from the position reported at period 7 and comprises a reduction of £30,000 in the overspend on agency workers from the position reported at period 7.

Alcohol and Drugs Recovery Service: Projected £216,000 (22.27%) underspend

The projected underspend has increased by £42,000 from the position reported at period 7 and comprises a decrease of £40,000 in the projected overspend on client commitments due to a reduction in service provision.

Homelessness Service: Projected £29,000 (2.69%) overspend

There has been a minor increase in spend of £3,000 from the position reported at period 7.

Strategy and Support Services: Projected £55,000 (3.38%) underspend

The projected underspend has increased by £28,000 since the period 7 report to Committee and is mainly due to an £18,000 increase in the projected underspend within employee costs as a result of a delay in filling vacant posts.

Business Support: Projected £250,000 (8.40%) underspend

The projected underspend has increased by £19,000 since the period 7 report to Committee and is mainly due to a reduction in spend of £20,000 for the cost of a Third Sector Integration Partner.

HEALTH**REVENUE BUDGET PROJECTED POSITION 2018/19****PERIOD 9: 1 April 2019 - 31 December 2019**

2018/19 Actual £000	SUBJECTIVE ANALYSIS	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
	HEALTH					
22,075	Employee Costs	20,821	24,652	24,891	239	1.0%
20	Property	5	5	5	0	0.0%
5,815	Supplies & Services	5,586	7,493	7,254	(239)	-3.2%
25,547	Family Health Services (net)	24,617	26,655	26,655	0	0.0%
18,394	Prescribing (net)	18,054	17,827	17,827	0	0.0%
16,764	Resource Transfer	16,751	16,662	16,662	0	0.0%
	Unallocated Funds/(Savings)	743	0	0	0	0.0%
(1,171)	Income	(44)	(1,467)	(1,467)	0	0.0%
	Transfer to Earmarked Reserves	0	(1,986)	(1,986)	0	0.0%
87,444	HEALTH NET DIRECT EXPENDITURE	86,534	89,841	89,841	0	0.0%
16,439	Set Aside	16,857	16,857	16,857	0	0.0%
103,883	HEALTH NET DIRECT EXPENDITURE	103,391	106,698	106,698	0	0.0%

2018/19 Actual £000	OBJECTIVE ANALYSIS	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
	HEALTH					
2,993	Children & Families	2,937	3,329	3,329	0	0.0%
6,081	Health & Community Care	5,460	6,843	6,843	0	0.0%
2,118	Management & Admin	2,682	3,395	3,052	(343)	-10.1%
480	Learning Disabilities	461	520	520	0	0.0%
1,537	Alcohol & Drug Recovery Service	1,552	1,300	1,300	0	0.0%
2,972	Mental Health - Communities	3,002	3,166	2,993	(173)	-5.5%
8,729	Mental Health - Inpatient Services	8,400	9,186	9,702	516	5.6%
499	Strategy & Support Services	438	381	381	0	0.0%
1,133	Change Fund	1,228	1,044	1,044	0	0.0%
25,547	Family Health Services	24,618	26,283	26,283	0	0.0%
18,591	Prescribing	18,262	17,732	17,732	0	0.0%
0	Unallocated Funds/(Savings)	743	0	0	0	0.0%
16,764	Resource Transfer	16,751	16,662	16,662	0	0.0%
87,444	HEALTH NET DIRECT EXPENDITURE	86,534	89,841	89,841	0	0.0%
16,439	Set Aside	16,857	16,857	16,857	0	0.0%
103,883	HEALTH NET DIRECT EXPENDITURE	103,391	106,698	106,698	0	0.0%

2018/19 Actual £000	HEALTH CONTRIBUTION TO THE IJB	Budget 2019/20 £000	Revised Budget 2019/20 £000	Projected Out-turn 2019/20 £000	Projected Over/(Under) Spend £000	Percentage Variance
103,883	NHS Contribution to the IJB	103,391	106,698	106,698	0	0.0%

HEALTH**REVENUE BUDGET PROJECTED POSITION 2018/19****PERIOD 9: 1 April 2019 - 31 December 2019**

Significant Projected Variances	Over/ (Underspend) £000	Notes
MH Adult Inpatients	516	Overspend in line with prev years, to be offset non recurrently by Pressure planned underspends on MH Community underspend and £150k of additional MH funding agreed at Jan 2020 IJB
MH Adult Community	(173)	
Management & Admin	(343)	Saving agreed but not required for 19/20 £239k when the Health uplift was increased after the budget was set. Agreed to keep it to cover other pressures eg MH Inpatients. Balance from underspend due to delay in filling vacancies
TOTAL	0	

Proposed transfers from the following services to Earmarked Reserves in year	Over/ (Underspend) £000	Notes
Addictions	(222)	Delay in filling vacancies pending Review being finalised
Adult Community	(208)	Delay in filling vacancies £85k in Rehab rest small amounts across various teams
Children's Services	(155)	Delay in filling vacancies plus additional funding received in year
Business Support	(141)	£89k of this is earmarked for the new Health Centre rest is delay in filling vacancies
PHI & Strategy	(156)	Vacancies eg OD post not filled
GP Premises Improvement	(82)	New money no spend to date
Scot Govt Funded Projects	(422)	PCIP, Action 15, ADP - Vacancies and slippage on commissioning eg BI and ADHD
Prescribing	(600)	Still awaiting info re Brexit impact and final projections but latest figures suggest a £0.45-0.7m underspend by yearend
TOTAL	(1,986)	

Proposed Health EMR In Year Allocations

Scot Govt Funded Projects EMRs		
PCIP	133	These funds are ringfenced by Scottish Govt
ADP	148	
Action 15	141	
Other EMRs		
MH Transformation	300	Funding for MH services to support 5 year strategy and local MH planning
Contribution to Partner Capital Projects	200	Funding support for new Health Centre
Primary Care Support	82	Projected underspend on GP premises funding received in year
Prescribing Smoothing Reserve	300	Reinstating budget smoothing reserve for this volatile budget to help reduce requirement for recurrent budget funding
Addictions Review	222	CORRA and service underspends ringfenced for this service for future years
Transformation Fund	460	Balance to IJB Transformation Fund
	1,986	

Budget Movements 2019/20

Appendix 4

Inverclyde HSCP Service	Approved Budget		Movements			Transfers (to)/ from Earmarked Reserves £000	Revised Budget
	2019/20 £000	Inflation £000	Virement £000	Supplementary Budgets £000	2019/20 £000		
Children & Families	12,774	0	972	435	350	14,531	
Criminal Justice	0	0	20	0	0	20	
Older Persons	28,267	0	352	0	0	28,619	
Learning Disabilities	11,510	0	440	11	116	12,077	
Physical & Sensory	2,828	0	61	0	0	2,889	
Assessment & Care Management/ Health & Community Care	7,583	0	815	1,285	0	9,683	
Mental Health - Communities	6,541	0	179	214	141	7,075	
Mental Health - In Patient Services	8,400	0	781	5	0	9,186	
Alcohol & Drug Recovery Service	3,324	0	89	45	370	3,828	
Homelessness	743	0	282	53	0	1,078	
Strategy & Support Services	2,138	0	(71)	95	156	2,318	
Management, Admin & Business Support	5,769	0	(863)	1,868	685	7,460	
Family Health Services	24,618	0	153	1,512	0	26,283	
Prescribing	18,262	0	70	0	600	18,932	
Change Fund	1,228	0	(114)	(70)	0	1,044	
Resource Transfer	0	0	0	0	0	0	
Unallocated Funds *	3,167	0	(3,167)	0	0	0	
Totals	137,151	0	0	5,453	2,418	145,023	

* Unallocated Funds are budget pressure monies agreed as part of the budget which at the time of setting had not been applied across services eg pay award etc

Virement Analysis

	Increase Budget £000	(Decrease) Budget £000
Budget Virements since last report		
<u>Health - Minor budget realignments</u>		
Health & Community Care	4	
Management, Admin & Business Support		28
Alcohol & Drug Recovery Service - transfer to Social Care		75
Mental Health - Communities	29	
Mental Health - Inpatient Services	3	
Strategy & Support Services	5	
Prescribing	24	
Resource Transfer	38	
<u>Social Care - Transfer of Pay & Grading and Anti Poverty budgets to EMR</u>		
Children & Families		17
Older Persons		118
Learning Disabilities		1
Physical and Sensory		5
Assessment and Care Management		6
Mental Health		4
Alcohol & Drug Recovery Service	33	
Homelessness		12
Strategy & Support Services		65
Management, Admin & Business Support - EMR	195	
	331	331

Supplementary Budget Movement Detail

£000

£000

Children & Families		435
Non Recurring PRF Breastfeeding funding	40	
Tier 2 Revenue Grant Allocation	195	
Non Recurring CAMHS Funding via Outcomes Framework	200	
Learning Disabilities		11
Non Recurring Funding from formerly hosted LD Liaison Service	11	
Health & Community Care		1,285
Additional Scot Govt Funding for Hospices for Superannuation increase	38	
PCIP Funding 2019/20	778	
Non Recurring SESP Diabetes Funding	95	
Non Recurring Associate Improvement Advisor (dementia post)	22	
Non Recurring PCIP Reprofile	352	
Mental Health Communities		214
Action 15 Funding 2019/20	214	
Mental Health - Inpatient Services		5
Non Recurring OU Student Funding	5	
Alcohol & Drug Recovery Service		45
ADP Funding 2019/20 Tranche 1	45	
Strategy & Support Services		95
Non Recurring SESP Eat Up Funding, Smoking Prevention & Food Hygeine	95	
Management & Admin		1,868
Health - Budget realignment linked to uplift	970	
Social Care - £88k linked to Advice Services EMR already passed across in 18/19	(88)	
Additional Syrian Refugee Funding Non Recurring	8	
Additional Scot Govt Funding to cover Superannuation cost increase	931	
Non Recurring CAM GP Premises Improvement Funding	49	
Transfer of Medical Records budget and costs to Acute	(16)	
Additional Funding re Pay As If At Work (PAIAW)	14	
Family Health Services		1,512
Additional in year funding - Non Cash Limited Budget	473	
GMS HSCP Uplift	1,039	
Homelessness		53
Rapid Rehousing Transition Programme	53	
Integrated Care Fund		(70)
Funding transferred to Acute for Stroke Outreach Team - Non Recurring	(70)	
		5,453

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
 (SCOTLAND) ACT 2014

THE INVERCLYDE COUNCIL is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB's Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 2, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 2, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2019/20 £000
SOCIAL CARE	
Employee Costs	28,400
Property costs	1,085
Supplies and Services	1,019
Transport and Plant	377
Administration Costs	766
Payments to Other Bodies	40,522
Income (incl Resource Transfer)	(21,392)
Social Care Transfer to EMR	(855)
SOCIAL CARE NET EXPENDITURE	49,922
Health Transfer to EMR	(1,986)

OBJECTIVE ANALYSIS	Budget 2019/20 £000
SOCIAL CARE	
Strategy & Support Services	1,625
Older Persons	28,619
Learning Disabilities	11,325
Mental Health	3,627
Children & Families	10,502
Physical & Sensory	2,889
Alcohol & Drug Recovery Service	1,788
Business Support	2,694
Assessment & Care Management	2,417
Criminal Justice / Scottish Prison	20
Change Fund	0
Homelessness	1,078
Unallocated Budget Changes	0
Resource Transfer	(16,662)
SOCIAL CARE NET EXPENDITURE	49,922

This direction is effective from 17 March 2020.

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
(SCOTLAND) ACT 2014

GREATER GLASGOW & CLYDE NHS HEALTH BOARD is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB’s Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 1, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 1, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2019/20 £000
HEALTH	
Employee Costs	24,652
Property costs	5
Supplies and Services	7,493
Family Health Services (net)	26,655
Prescribing (net)	17,827
Resources Transfer	16,662
Unidentified Savings	0
Income	(1,467)
Transfer to EMR	(1,986)
HEALTH NET DIRECT EXPENDITURE	89,841
Set Aside	16,857
NET EXPENDITURE INCLUDING SCF	106,698

OBJECTIVE ANALYSIS	Budget 2019/20 £000
HEALTH	
Children & Families	3,329
Health & Community Care	6,843
Management & Admin	3,395
Learning Disabilities	520
Alcohol & Drug Recovery Service	1,300
Mental Health - Communities	3,166
Mental Health - Inpatient Services	9,186
Strategy & Support Services	381
Change Fund	1,044
Family Health Services	26,283
Prescribing	17,732
Unallocated Funds/(Savings)	0
Resource Transfer	16,662
HEALTH NET DIRECT EXPENDITURE	89,841
Set Aside	16,857
NET EXPENDITURE INCLUDING SCF	106,698

This direction is effective from 17 March 2020.

INVERCLYDE HSCP
TRANSFORMATION FUND
PERIOD 9: 1 April 2019 - 31 December 2019

Total Fund at 31/03/19	2,505,000
Balance Committed to Date	1,729,470
Balance Still to be Committed	775,530

Current Projects List

Ref	Project Title	Service Area	Approved IJB/TB	Date Approved	Updated Agreed Funding	Project Complete	Spend to date	Balance to spend
001	CELSIS Project	Children's Services	IJB	18/06/18	31,600		20,800	10,800
002	Infant Feeding Coordinator	Children's Services	TB	12/09/18	27,900		21,500	6,400
008	Sheltered Housing Support Services Review	Health & Community Care	TB	27/09/18	59,370		5,471	53,899
009	Equipment Store Stock system - £50k capital plus 1.5 yrs revenue costs up to £20k in total	ICIL	TB	09/01/19	70,000		0	70,000
010	TEC Reablement & Support to live independently. 6 month extension of H Grade post approved.	Homecare	TB	09/01/19	22,340		12,695	9,645
012	Long Term Conditions Nurses - 2 x 1wte Band 5 nurses to cover Diabetes, COPD and Hyper-tension for a fixed term of one year.	Community Nursing	IJB	29/01/19	80,500		60,300	20,200
013	Match Funding for CORRA bid to pilot 7 day Addictions Services	Addictions	IJB	29/01/19	150,000		0	150,000
014	Localities Engagement Officer - 1 year	Strategy & Support Services	IJB	27/03/19	122,000		39,155	82,845
015	Young Persons Engagement Officer 18 mths Big Actions 1 & 2	Children's Services	TB	27/03/19	51,100		0	51,100
016	Domestic Abuse	Children's and Criminal Justice Services	TB	27/03/19	20,000		0	20,000
017	Signposting/Care Navigation	Health & Community Care	TB	27/03/19	10,400		0	10,400
018	CAMHS - Tier 3 service development - for 3 years	Children & Families	IJB	24/06/19	300,000		27,400	272,600
020	Legal Support - Commissioning £85k over 2 years. Approved 1 year initially.	Quality & Development	TB	01/05/19	42,500		5,729	36,771
021	Resilience Training - 172 staff	All	TB	01/05/19	52,000		15,226	36,774

Ref	Project Title	Service Area	Approved IJB/TB	Date Approved	Updated Agreed Funding	Project Complete	Spend to date	Balance to spend
022	SWIFT replacement project - extension of Project Manager contract by one year and employ fixed term Project Assistant for one year.	Quality & Development	TB	26/06/19	95,240		17,200	78,040
023	Homelessness Team Agile Working/new network. Provisions of 9 laptops and 3 desktops for staff at Crown House.	Homelessness Team	TB	26/06/19	5,092			5,092
024	Temp HR advisor for 18 months to support absence management process and occupational health provision within HSCP.	Strategy & Support Services	TB	26/06/19	66,000		0	66,000
027	Autism Clinical/Project Therapist	Specialist Children's Services	TB	28/08/19	90,300		0	90,300
028	Strategic Commissioning Team - progressing the priorities on the Commissioning List.	Strategy & Support Services	IJB	10/09/19	200,000		0	200,000
029	Winter Plan 2019/20	Health & Community Care	IJB	04/11/19	117,660		17,657	100,003

INVERCLYDE HSCP
INTEGRATED CARE FUND & DELAYED DISCHARGE BUDGET
PERIOD 9: 1 April 2019 - 31 December 2019

Integrated Care Fund (ICF)				
By Organisation	Revised Budget	Projected outturn	Variance	YTD Actuals
HSCP Council	827,990	833,230	5,240	558,210
HSCP Council Third Sector	202,800	204,750	1,950	177,670
HSCP Health	115,970	115,970	0	87,000
Acute	70,000	70,000	0	70,000
	1,216,760	1,223,950	7,190	892,880
Any surplus/(deficit) at the yearend will go to or be taken from the IJB's ICF EMR				

Delayed Discharge (DD)				
Summary of allocations	Revised Budget	Projected outturn	Variance	YTD Actuals
Council	616,270	665,145	48,875	337,280
Health	144,300	144,300	0	108,220
Acute	50,000	50,000	0	50,000
	810,570	859,445	48,875	495,500
Any surplus/(deficit) at the yearend will go to or be taken from the IJB's DD EMR				

INVERCLYDE HSCP - CAPITAL BUDGET 2018/19**PERIOD 9: 1 April 2019 - 31 December 2019**

<u>Project Name</u>	<u>Est Total Cost £000</u>	<u>Actual to 31/3/19 £000</u>	<u>Approved Budget 2019/20 £000</u>	<u>Actual YTD £000</u>	<u>Est 2020/21 £000</u>	<u>Est 2021/22 £000</u>	<u>Future Years £000</u>
SOCIAL CARE							
Crosshill Children's Home Replacement	1,721	582	995	599	144	0	0
Inverclyde Centre for Independent Living Equipment Store Upgrade	70	0	70	70	0	0	0
Completed on site	70	0	28	24	42	0	0
Social Care Total	1,861	582	1,093	693	186	0	0
HEALTH							
Health Total	0	0	0	0	0	0	0
Grand Total HSCP	1,861	582	1,093	693	186	0	0

EARMARKED RESERVES POSITION STATEMENT

APPENDIX 9

INVERCLYDE HSCP

PERIOD 9: 1 April 2019 - 31 December 2019

<u>Project</u>	<u>Lead Officer/ Responsible Manager</u>	<u>Planned Use By Date</u>	<u>b/f Funding 2018/19 £000</u>	<u>New Funding 2019/20 £000</u>	<u>Total Funding 2019/20 £000</u>	<u>YTD Actual 2019/20 £000</u>	<u>Projected Net Spend 2019/20 £000</u>	<u>Amount to be Earmarked for Future Years £000</u>	<u>Lead Officer Update</u>
Scottish Government Funding			333	176	509	203	87	422	
Mental Health Action 15	HOS MH & Addictions	31/07/2020	98	43	141	11	0	141	In year underspend will be carried forward earmarked for use on this SG initiative. Slippage in year will be carried forward
ADP	HOS MH & Addictions	31/07/2020	235		235	192	87	148	In year underspend will be carried forward earmarked for use on this SG initiative. Slippage in year will be carried forward
PCIP	Allen Stevenson	31/07/2020	0	133	133	0	0	133	In year underspend will be carried forward earmarked for use on this SG initiative. Slippage in year will be carried forward
Existing Projects/Commitments			2,077	2,451	4,528	1,712	2,734	1,794	
Self Directed Support	Alan Brown	31/03/2020	43		43		43	0	This supports the continuing promotion of SDS and full spend is projected for 2019/20.
Growth Fund - Loan Default Write Off	Helen Watson	ongoing	25		25		1	24	Loans administered on behalf of DWP by the credit union and the Council has responsibility for paying any unpaid debt. This requires to be kept until all loans are repaid and no debts exist. Minimal use expected in 2019/20
Integrated Care Fund	Allen Stevenson	ongoing	11	1,042	1,053	736	1,002	51	The Integrated Care Fund funding has been allocated to a number of projects, including reablement, housing and third sector & community capacity projects. A small carryforward is expected for 2019/20.
Delayed Discharge	Allen Stevenson	ongoing	428	334	762	371	636	126	Delayed Discharge funding has been allocated to specific projects, including overnight home support and out of hours support. A small carryforward is projected for 2019/20
CJA Preparatory Work	Sharon McAlees	31/03/2020	112		112	41	64	48	Budget is for post to address the changes in Community Justice (£67k), shortfall of savings target for 2019/20 (£20k) and also £25k for Whole Systems Approach. Projected that savings shortfall and not all of Whole Systems Approach will not be required in 2019/20, together with a small carry forward re the post being funded.
Swift Replacement Programme	Helen Watson	30/09/2019	27		27	27	27	0	Post from September 18 to progress replacement client information system for SWIFT plus upgrade costs, post has been extended to Nov 2020 and this will now be funded from Transformation Fund.
Service Reviews	Alan Best	31/03/2021	240		240	169	240	0	Funding for two posts to carry out service reviews. Posts appointed to in September 2018. Funding for 1 grade L post and 2 grade H/I posts to 31/03/2020, all posts currently filled. Funding for one year for Your Voice and TAG support.
Continuing Care	Sharon McAlees	ongoing	675		675	18	126	549	To address continuing care legislation. Based on period 9 projections it is assumed that £123k of the EMR will be spent at the end of 19/20.
Rapid Rehousing Transition Plan (RRTP)	HOS MH & Addictions	31/03/2020	30		30		30	0	RRTP funding. Proposals taken to CMT and Committee - progression of Housing First approach and the requirement for a RRTP partnership officer employed by an RSL. EMR to fund £30k of this spend in year 1.
Dementia Friendly Inverclyde	HOS MH & Addictions	tbc once Strategy finalised	100		100		0	100	Now linked to the test of change activity associated with the new care co-ordination work.
Primary Care Support	Allen Stevenson	31/03/2020	241	82	323	142	200	123	Monies carried forward at y/end for slippage on GP Premises and PCIP investment programmes

<u>Project</u>	<u>Lead Officer/ Responsible Manager</u>	<u>Planned Use By Date</u>	<u>b/f Funding 2018/19 £000</u>	<u>New Funding 2019/20 £000</u>	<u>Total Funding 2019/20 £000</u>	<u>YTD Actual 2019/20 £000</u>	<u>Projected Net Spend 2019/20 £000</u>	<u>Amount to be Earmarked for Future Years £000</u>	<u>Lead Officer Update</u>
Contribution to Partner Capital Projects	Lesley Aird	ongoing	145	200	345	8	65	280	Funding to support various capital projects linked to HSCP service delivery
LD Redesign	Allen Stevenson	31/03/2021	0	398	398	0	100	298	LD Redesign estimated spend for site investigation to be £50k per site and to be incurred in 2019/20. Balance to be spent in future years.
Develop Pay & Grading Model	Louise Long	31/03/2020	0	200	200	200	200	0	Reserve to fund pay & grading costs for 1 year
Tier 2 School Counselling	Sharon McAlees	31/03/2021	0	195	195	0	0	195	Tier 2 Counselling - contract will commence in 2020-21
Transformation Projects			2,815	982	3,797	352	550	3,247	
Transformation Fund	Louise Long	ongoing	2,505	460	2,965	352	550	2,415	Funding will be allocated for transformation projects on a bids basis controlled through the Transformation Board. Additional in year funds linked to anticipated Health & Social Care underspends
Mental Health Transformation	Louise Long	ongoing	310	300	610		0	610	Anticipated that this will be required to fund future budget pressures and additional one off costs linked to MH service redesign. Funding will be allocated from the fund on a bids basis controlled through the Transformation Board
Addictions Review	HOS MH & Addictions	31/03/2021	0	222	222		0	222	Underspend in Addictions and CORRA spend linked to delay in filling vacancies due to the Addictions Review. This fund will be used to support initial implementation of the Addictions Review in 2020/21
Budget Smoothing			1,046	300	1,346	145	510	836	
C&F Adoption, Fostering Residential Budget Smoothing	Sharon McAlees	ongoing	732		732	97	336	396	This reserve is used to smooth the spend on children's residential accommodation, adoption, fostering & kinship costs over the years. Projection assumes £281k of the EMR will be spent at the end of 19/20.
Advice Service Smoothing	Helen Watson	31/03/2020	88		88	48	88	0	EMR budget from Anti Poverty to assist in achieving £105k savings within Planning & Improvement services.
Residential & Nursing Placements	Allen Stevenson	ongoing	226		226		86	140	This reserve is used to smooth the spend on nursing and residential care beds across the years. Currently projecting an overspend of £86k which will be transferred to the residential and nursing EMR at the year-end.
Prescribing	Louise Long	ongoing	0	300	300	0	0	300	This reserve is used to smooth short term fluctuations in prescribing costs linked to short supply issues
TOTAL EARMARKED			6,271	3,909	10,180	2,412	3,881	6,299	
UN-EARMARKED RESERVES									
General			1,010		1,010			1,010	
			1,010	0	1,010	0	0	1,010	
In Year Surplus/(Deficit) going to/(from) reserves									(65)
TOTAL IJB RESERVES			7,281	3,909	11,190	2,412	3,881	7,244	

b/f Funding 7,281
 Earmark to be carried forward 7,244
 Projected Movement in Reserves **(37)**